## SEC Form 5

# FORM 5

Form 3 Holdings Reported.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0362 Estimated average burden hours per response: 1.0

1. Name and Address of Reporting Person*  2. Issuer Name and Ticker or Trading Symbol  5. Relationship of Reporting Person(s) to Issuer    Mona Joseph	Form 4 Transactions Reported.  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940										
(Last)  (First)  (Middle)    808 HAMPTON ST  3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  below)  below)    (Street)  COLUMBIA  SC  29201	1 0		(Check all applicable)								
(Street) COLUMBIA SC 29201 											
	COLUMBIA SC 29201	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form filed by One Reporting Person Form filed by More than One Reporting								

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.	4. Securities Acq (D) (Instr. 3, 4 and		or Disposed Of	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		(wonthisbay) reary		Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)		
Microbot Medical Common Stock	01/08/2019		S	2,269	D	\$2.7	0	D	
Microbot Medical Common Stock	01/09/2019		P5	14,280	A	\$2.19	0	D	
Microbot Medical Common Stock	01/09/2019		<b>S</b> 5	1,280	D	\$2.27	0	D	
Microbot Medical Common Stock	01/14/2019		P5	1,773	A	\$6.43	0	D	
Microbot Medical Common Stock	01/14/2019		S	281,773	D	\$8.16	0	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, cails, warrai								s, options, convertible securities)							
	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
						(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

#### <u>Joseph Mona</u>

<u>10/28/2019</u> Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.