SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
OMB Number: 3235-0104

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Mona Joseph | | | 2. Date of Event Requiring Statement Month/Day/Year) 11/16/2018 | | | | | | | | |
|--|---------|----------|--|--------------------|--|---|-----------------|--|--|---|--|
| (Last) (F 808 HAMPTON | First) | (Middle) | | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner | | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | |
| | | | | | Officer (give title below) | Other (specify below) | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | |
| (Street) | | | | | ···· , | , | | | Form filed b | y One Reporting Person | |
| COLUMBIA S | SC | 29201 | | | | | | | Form filed by Reporting P | y More than One erson | |
| (City) (S | State) | (Zip) | | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | | |
| Microbot Medical Common Stock | | | | | 300,320 | D | | | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | | | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | I 3. Title and Amount of Secur Underlying Derivative Secur | | | ercise | 5. Ownership Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | | | Date Exercisable | Expiration Date | n Title | Amount or Number of Shares | Deriva Secur | ative | Direct (D) or Indirect (I) (Instr. 5) | | |
| Explanation of Resp | ponses: | | | | Iose | ph Mona | | | 10/18/20 | 10 | |

Joseph Mona ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.